CalPERS Supplemental Income 457 Plan

THREE-YEAR SPECIAL 457(b) CATCH-UP WORKSHEET

(For Current Employer Only)

1. PARTICIPANT INFORMATION (please print clearly)

NAME: _____ SOCIAL SECURITY NUMBER: _____

CalPERS ID: _ _ _ _ _ _ _ _ _ _

EMPLOYER NAME: _____ AGENCY PLAN NUMBER: 4 5 _ – _ _ _

PARTICIPANT MAILING ADDRESS: _____ APT: _____

CITY: _____ STATE: _____ ZIP CODE: _____

WORK PHONE: _____ HOME PHONE: _____ E-MAIL: _____

2. DESIGNATION OF NORMAL RETIREMENT AGE

For the purpose of using the Three-Year Special 457(b) Catch-Up Provision, I hereby designate my Normal Retirement Age as _____ years of age.

I will attain my Normal Retirement Age in the year _____*(insert year here)*

Year One of Catch-Up Begins on 01/01/_____ Year Three of Catch-Up Ends on 12/31/_____

The Normal Retirement Age you have designated above is only for the purpose of establishing the Three-Year Special 457(b) Catch-Up period. Your designation does not affect any other retirement benefits to which you may be entitled. You do not have to retire when you reach the age you have designated.

During the three calendar years immediately before the year in which you attain your designated Normal Retirement Age, you may Catch-Up on contributions you could have made but did not make in previous years with your current employer. You may calculate those unused contributions from previous years, using the worksheet below. To determine the total amount available to you for Catch-Up, you may need to consult old payroll records with your current employer.

Once you have determined the total amount from previous years available to you for Catch-Up, you may contribute up to twice the normal contribution limit in each of the three calendar years before Normal Retirement Age until you have reached the total Catch-Up amount available to you.

Please note that prior to 2002 (1979 to 2001) any other contributions into a 401(k) or 403(b) are aggregated towards your annual deferral limit. From 2002 and beyond contributions into a 401(k) or 403(b) do not count against your annual deferral limit in the 457 Plan.

3. CATCH-UP WORKSHEET

Plan Year	A Salary	B 33 1/3 of salary for Plan Years 1979-2001 100% of salary for Plan Years 2002-Current	C Deferral Limit	D Enter Lesser of Column B or C	E Enter Amount You Actually Deferred (Include 401(k) and 403(b) amounts)	F Amount Available for Catch-Up (D Minus E)
1979	$	$	$ 7,500	$	$	$
1980	$	$	$ 7,500	$	$	$
1981	$	$	$ 7,500	$	$	$
1982	$	$	$ 7,500	$	$	$
1983	$	$	$ 7,500	$	$	$
1984	$	$	$ 7,500	$	$	$
1985	$	$	$ 7,500	$	$	$
1986	$	$	$ 7,500	$	$	$
1987	$	$	$ 7,500	$	$	$
1988	$	$	$ 7,500	$	$	$
1989	$	$	$ 7,500	$	$	$
1990	$	$	$ 7,500	$	$	$
1991	$	$	$ 7,500	$	$	$
1992	$	$	$ 7,500	$	$	$
1993	$	$	$ 7,500	$	$	$

3. CATCH-UP WORKSHEET (continued)

Plan Year	A Salary	B 33 1/3 of salary for Plan Years 1979-2001 100% of salary for Plan Years 2002-Current	C Deferral Limit	D Enter Lesser of Column B or C	E Enter Amount You Actually Deferred (Include 401(k) and 403(b) amounts)	F Amount Available for Catch-Up (D Minus E)
1994	$	$	$ 7,500	$	$	$
1995	$	$	$ 7,500	$	$	$
1996	$	$	$ 7,500	$	$	$
1997	$	$	$ 7,500	$	$	$
1998	$	$	$ 8,000	$	$	$
1999	$	$	$ 8,000	$	$	$
2000	$	$	$ 8,000	$	$	$
2001	$	$	$ 8,500	$	$	$
2002	$	$	$ 11,000	$	$	$
2003	$	$	$ 12,000	$	$	$
2004	$	$	$ 13,000	$	$	$
2005	$	$	$ 14,000	$	$	$
2006	$	$	$ 15,000	$	$	$
2007	$	$	$ 15,500	$	$	$
2008	$	$	$ 15,500	$	$	$
2009	$	$	$ 16,500	$	$	$
2010	$	$	$ 16,500	$	$	$
2011	$	$	$ 16,500	$	$	$
2012	$	$	$ 17,000	$	$	$
2013	$	$	$ 17,500	$	$	$
2014	$	$	$ 17,500	$	$	$
2015	$	$	$ 18,000	$	$	$

4. CALCULATION DEFERRAL SCHEDULE DURING CATCH-UP PERIOD

CATCH-UP YEAR(S) (the 3 consecutive years before the year you attain Normal Retirement Age)	(1) ANNUAL SALARY	(2) ANNUAL DEFERRAL AMOUNT (Amount you will actually contribute within normal limits. See columns C & E above)	(3) CATCH-UP DEFERRAL AMOUNT (Amount you will contribute for Catch-Up from the total amount available under Column F)	(4) TOTAL DEFERRAL COLUMN 2 + COLUMN 3	(5) MAXIMUM DEFERRAL (TOTAL DEFERRAL NOT TO EXCEED THIS AMOUNT)
2012	$	$	$	$	$ 34,000
2013	$	$	$	$	*$ 35,000
2014	$	$	$	$	*$ 35,000
2015	$	$	$	$	*$ 36,000
2016	$	$	$	$	*$ 36,000
2017	$	$	$	$	*$ 36,000

* May be adjusted later for inflation

5. SIGNATURES REQUIRED

I understand that the Three-Year Special 457(b) Catch-Up period I have elected by designating a Normal Retirement Age above cannot be revoked, extended, or repeated under my current employer and that this designation applies with respect to all 457 plans and providers under my current employer.

PARTICIPANT'S SIGNATURE: _____ **DATE:** _____

EMPLOYER'S SIGNATURE: _____ **DATE:** _____

Please submit your completed form by fax or mail:

FAX DELIVERY:
Voya Financial™
Attn: CalPERS
1-888-228-6185

US MAIL DELIVERY:
Voya Financial™
Attn: CalPERS
P.O. Box 24747
Jacksonville, FL 32241-4747

OVERNIGHT DELIVERY:
Voya Financial™
Attn: CalPERS
8900 Freedom Commerce Parkway
Jacksonville, FL 32256-8264

If you have any questions, you may call the Help Line at 1-800-260-0659, or to obtain additional plan or account information, please access your account at **https://calpers.voyaplans.com**. Customer Service Representatives are available Monday through Friday, 6:00 A.M. to 5:00 P.M. Pacific Time (excluding stock market holidays).